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Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE

# UTILITY PATENT APPLICATION TRANSMITTAL

Only for new nonapplications under 37C.F.R. §1.53(b)

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

## ADDRESS TO:

Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ \*Free Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)

2. ☐ Applicant claims small entity status  
See 37 CFR 1.27

3. ☒ Specification [Total Pages 44]  
(preferred arrangement set forth below)
- Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R&D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure

4. ☐ Drawing(s) (35 U.S.C. 113) [Total sheets 1]

5. ☐ Oath or Declaration [Total pages 1]

- a. ☐ Newly executed (original or copy)

- b. ☐ Copy from a prior application (37 CFR §1.63(d))  
(for continuation/divisional with Box 18 completed)

- i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).

6. ☒ Application Data Sheet. See 37 CFR 1.76

18. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37CFR 1.76.

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_ Group/Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only. The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 18. CORRESPONDENCE ADDRESS

- ☒ Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) or ☐ Correspondence address below

23913

|                   |                     |                                   |         |
|-------------------|---------------------|-----------------------------------|---------|
| Name              |                     |                                   |         |
| Address           |                     |                                   |         |
| City              | State               | Zip Code                          |         |
| Country           | Telephone           | Fax                               |         |
| NAME (Print/type) | Elsa Djuradi        | Registration No. (Attorney/Agent) | 45,963  |
| Signature         | <i>Elsa Djuradi</i> | Date                              | 12/4/01 |

Attorney Docket No. PC10856A

First Inventor Juan Colberg

Title PROCESS AND ESTER DERIVATIVES USEFUL FOR PREPARATION OF CEPHALOSPORINS

Express Mail Label No. EL874867466 US

12/04/01

12/04/01

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other:

12/04/01

10006579.120101

**FEE TRANSMITTAL  
For FY 2001**

Patent Fees are subject to annual Revision

Total Amount of Payment (\$),334.00

**Complete if Known**

|                      |                     |
|----------------------|---------------------|
| Application Number   | Unassigned          |
| Filing Date          | Concurrent herewith |
| First Named Inventor | Juan Colberg        |
| Examiner Name        | Unassigned          |
| Group/Art Unit       | Unassigned          |
| Attorney Docket No.  | PC10856A            |

**METHOD OF PAYMENT**

1. ☒ The commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit  
Account  
Number

16-1445

Deposit  
Account  
Name

Pfizer Inc

- ☒ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17

- ☐ Applicant claims small entity status.  
See 37 CFR 1.27

2. ☐ Payment Enclosed:

- ☐ Check ☐ Credit card ☐ Money Order ☐ Other

**FEE CALCULATION****1. BASIC FILING FEE**

| Large Entity<br>Fee<br>Code | Fee<br>(\$) | Small Entity<br>Fee<br>Code | Fee<br>(\$) | Fee Description    | Fee Paid |
|-----------------------------|-------------|-----------------------------|-------------|--------------------|----------|
| 101                         | 740         | 201                         | 355         | Utility filing fee | 740      |
| 106                         | 330         | 206                         | 165         | Design filing fee  |          |
| 107                         | 510         | 207                         | 255         | Plant filing fee   |          |
| 108                         | 740         | 208                         | 370         | Reissue filing fee |          |
| 114                         | 160         | 214                         | 80          | filing fee         |          |
| SUBTOTAL (1) (\$)           |             |                             |             |                    | 740      |

**2. EXTRA CLAIM FEES**

|                             | Extra Claims                | Fee from<br>below  | Fee Paid |
|-----------------------------|-----------------------------|--|----------|
| Total Claims                | 39 -20**=                   | 19 X 18 =  | 342      |
| Independent Claims          | 6 -3**=                     | 3 X 84 =   | 252      |
| Multiple Dependent          |                             |  | = 0      |
| Large Entity<br>Fee<br>Code | Small Entity<br>Fee<br>Code | Fee Description  |          |
| 103                         | 18 203 9                    | Claims in excess of 20                                       |          |
| 102                         | 84 202 42                   | Independent claims in excess of 3                            |          |
| 104                         | 280 204 140                 | Multiple dependent claim, if not paid                        |          |
| 105                         | 80 209 42                   | **Reissue independent claims<br>over original patent         |          |
| 110                         | 18 210 9                    | **Reissue claims in excess of 20<br>and over original patent |          |
| SUBTOTAL (2)                |                             |  | 594      |


\*\* or number previously paid, if greater; For Reissues, see above

**3. ADDITIONAL FEES**

| Fee<br>Code                       | Large<br>Entity<br>Fee<br>(\$) | Fee<br>Code | Small<br>Entity<br>Fee<br>(\$) | Fee Description   | Fee Paid |
|-----------------------------------|--------------------------------|-------------|--------------------------------|---|----------|
| 105                               | 130                            | 205         | 65                             | Surcharge - late fee or oath  |          |
| 127                               | 50                             | 227         | 25                             | Surcharge-late filing fee or<br>cover sheet                                   |          |
| 138                               | 130                            | 139         | 130                            | Non-English specification   |          |
| 147                               | 2,520                          | 147         | 2,520                          | For filing a request for ex parte reexamination                               |          |
| 112                               | 920*                           | 112         | 920*                           | Requesting publication of SIR prior to<br>Examiner action                     |          |
| 113                               | 1,840*                         | 113         | 1,840*                         | Requesting publication of SIR after<br>Examiner action                        |          |
| 115                               | 110                            | 215         | 55                             |   |          |
| 116                               | 400                            | 216         | 200                            | Extension for reply within second month                                       |          |
| 117                               | 920                            | 217         | 460                            | Extension for reply within third month  |          |
| 118                               | 1,440                          | 218         | 720                            | Extension for reply within fourth month                                       |          |
| 128                               | 1,060                          | 228         | 980                            | Extension for reply within fifth month  |          |
| 119                               | 320                            | 219         | 160                            | Notice of Appeal  |          |
| 120                               | 320                            | 220         | 160                            | Filing a brief in support of an appeal  |          |
| 121                               | 280                            | 221         | 140                            | Request for oral hearing  |          |
| 138                               | 1,510                          | 138         | 1,510                          | Petition to institute a public use proceeding                                 |          |
| 140                               | 110                            | 240         | 55                             |   |          |
| 141                               | 1,280                          | 241         | 640                            | Petition to revive - unintentional  |          |
| 142                               | 1,280                          | 242         | 640                            | Utility issue fee (or reissue)  |          |
| 143                               | 460                            | 243         | 230                            | Design issue fee  |          |
| 144                               | 620                            | 244         | 310                            | Plant issue fee   |          |
| 122                               | 130                            | 122         | 130                            | Petitions to the Commissioner   |          |
| 123                               | 50                             | 123         | 50                             | Processing fee under 37 CFR 1.17(a)   |          |
| 126                               | 180                            | 126         | 180                            | Submission of Information Disclosure Stmt                                     |          |
| 581                               | 40                             | 581         | 40                             | Recording each patent assignment per<br>property (times number of properties) |          |
| 146                               | 740                            | 246         | 370                            | Filing a submission after final rejection<br>(37 CFR 1.129(a))                |          |
| 149                               | 740                            | 249         | 370                            | For each additional invention to be<br>examined (37 CFR § 1.129(b))           |          |
| 179                               | 710                            | 279         | 355                            | Request for Continued Examination(RCE)  |          |
| 169                               | 900                            | 169         | 900                            | Request for expedited examination of a<br>design application                  |          |
| Other (specify) _____             |                                |             |                                |   |          |
| *Reduced by Basic Filing Fee Paid |                                |             |                                |   |          |
| SUBTOTAL (3)                      |                                |             |                                |   |          |

Complete (if applicable)

**SUBMITTED BY**

|                      |   |                                     |        |           |         |
|----------------------|---|-------------------------------------|--------|-----------|---------|
| Type or Printed Name | Elsa Djuardi  | Registration No<br>(Attorney/Agent) | 45,963 | Telephone |         |
| Signature            |  |                                     |        | Date      | 12/4/01 |

CERTIFICATE OF MAILING - EXPRESS MAIL

PFIZER DOCKET NO: PC10856A

APPLICATION NUMBER: N/A

TITLE: : PROCESS AND ESTER DERIVATIVES USEFUL  
FOR PREPARATION OF CEPHALOSPORINS

APPLICANT: Juan C. COLBERG *et al*

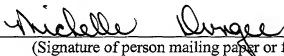
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Date of Deposit December 4, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Box Patent Application, Hon. Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Michelle Dungee

(Typed or printed name of person mailing paper or fee)



(Signature of person mailing paper or fee)

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